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Dated: March 2, 2007

Signature: 

(Dennis M. Smid, Esq.)

1FW 2622  
Docket No.: SONYJP 3.3-993  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shigetaka Kudou

Application No.: 10/509,838

Group Art Unit: 2622

Filed: September 30, 2004

Examiner: Not Yet Assigned

For: RECORDING AND REPRODUCING  
APPARATUS AS WELL AS RECORDING  
AND REPRODUCING METHOD

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**


Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Reference numbers BA-BB were cited in an International Search Report which issued in connection with the corresponding PCT application (i.e., PCT/JP03/04707). Reference numbers BC-BE were cited in the background section of the present specification. A copy of International Search Report and each non-US patent or patent application reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: March 2, 2007

Respectfully submitted,

By   
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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/509,838-Conf. #2252
				Filing Date	September 30, 2004
				First Named Inventor	Shigetaka Kudou
				Art Unit	2622
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.3-993

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-2002-063761-A	02-28-2002	Aiwa Co., Ltd.		**
	BB	EP-0 962 929	12-08-1999	Matsushita Electric Ind Co Ltd		√
	BC	JP-2001-110171-A	04-20-2001	Sony Corp		**
	BD	JP-2000-224523-A	08-11-2000	Sony Corp		**
	BE	JP-09-311799-A	12-02-1997	Sony Corp		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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